

**S p e c i f i c a t i o n**

Be It Known That We, **ARTHUR C. PERRY** a citizen of the United States of America, resident of San Diego, County of San Diego, State of California, and **JAMES CAHILL** a citizen of the United States of America, resident of Carlsbad, County of San Diego, State of California, have invented a new and useful

**ORBITAL IMPLANT COATING HAVING DIFFERENTIAL DEGRADATION**  
of which the following is a specification:

### Field of the Invention

This invention relates to orbital implants for reconstructive surgery of the socket and orbit and more particularly to materials and methods for enhancing fibrovascular ingrowth and full integration of orbital implants.

### Background of the Invention

Orbital implants have long been used to treat patients having an eviscerated or enucleated eye. It is further known that such treatment is enhanced by encouraging fibrovascular ingrowth into porous implants so that they may become integrated as disclosed in Perry, U.S. Patent No. 6,063,117 incorporated herein by this reference.

Porous materials such as hydroxyapatite ("HA") do have some drawbacks. Naturally derived HA exhibits surface spicules which can abrasively contact other structures within the orbit which can make insertion difficult. Further abrasion can occur after insertion, leading to the generally unwanted condition of exposure of the implant.

It has been found that a coating or wrapping of a smooth, sheet material on the outer surface of the porous core of the implant can provide a smooth surface which will facilitate deep insertion. The coating also provides a secure structure onto which the extraocular muscles may be sutured in close proximity to the implant in order to provide a good blood supply for encouraging rapid

fibrovascular ingrowth. Unfortunately, such a coating can discourage rapid fibrovascular ingrowth by blocking access of bodily fluids and blood vessels to the porous core.

It is further shown in Perry, U.S. Pat. No. 6,248,130, incorporated herein by this reference, that a coating made of bioabsorbable sheet material will allow both easy insertion and, as it degrades, allow full integration with fibrovascular tissue. The materials selected for the coating should not cause an undue adverse immune response and, preferably, will be rapidly bioabsorbed or penetrated by the patient's body to allow integration with fibrovascular tissue. Preferably, the coating must be made from a material which is strong enough to securely hold sutures.

Various materials have been proposed from plaster of paris to biodegradable polymeric compounds such as polyglycolic acid ("PGA"), and polylactic acid ("PLA") among others. Depending on various parameters such as its molecular morphology (crystalline vs. amorphous), hydrophobicity, and presence of additives, among others, such materials typically exhibit different rates of degradation in the body and will become bio-absorbed at different times.

This required manufacturers to weigh the benefits of a faster degrading coating which would encourage more rapid vascularization against the disadvantages of less secure muscle attachment over time, and the irritation caused by

projecting spicules. Although slower degradation provides longer lasting smoothness, secure muscle attachment, and encourages epithelial cell growth if exposed, it discourages rapid fibrovascular ingrowth.

There is a need, therefore, to provide an implant which minimizes the above-described negative effects.

#### Summary of the Invention

The principal and secondary objects of this invention are to provide an orbital implant which provides a smooth surface for easy insertion and reducing irritation to neighboring tissues, and provides a stable anchorment for extraocular muscles, but which also encourages rapid fibrovascular ingrowth and epithelial cell growth if exposed. It is a further object of the invention to provide an orbital implant which is easier to properly orient, is sterile, can readily carry therapeutic agents and has an adequate shelf life.

These and other objects are achieved by an orbital implant having a smooth, bioabsorbable coating at least partially covering a porous core. In one embodiment, the coating is made to have a portion which is adapted to be bioabsorbed more rapidly than other portions. Optional preformed apertures through the coating enhance fluid flow to and from the core, expose the core to attached muscles, and facilitate suturing. An indicia or visual indicator is associated with the coating to facilitate proper

orientation during implantation. Coating materials are selected to determine bioabsorption rates, to allow for sterile packaging, to secure therapeutic agents thereon, to provide secure attachment of extraocular muscles, and to provide adequately strong securing of the coating to the porous core.

**Brief Description of the Drawing**

**Figure 1** is a diagrammatic perspective view of an orbital implant formed by a porous core covered by an outer coating having portions made from materials which are bioabsorbed by the body at different rates, and showing an orientation indicia on the outer surface of the coating.

**Figure 2** is a diagrammatic exploded perspective view of an orbital implant of Figure 1.

**Figure 3** is a diagrammatic, partial diametrical cross-sectional view across a hole through the posterior coating portion of the implant of Figure 1.

**Figure 4** is a diagrammatic, diametrical cross-sectional view of the bioabsorbable coating according to the invention having portions bonded by a snap fit structure and a zoomed-in view of surface microtexturing.

**Figure 5** is a diagrammatic perspective view of an alternate orbital implant having a coating covering only the anterior hemisphere of the implant.

**Figure 6** is a diagrammatic, cross-sectional view of an alternate embodiment of the invention having a hyper-

hemispherically shaped, single material coating.

**Figure 7** is a diagrammatic, cross-sectional view of a die press for forming a coating according to the invention.

**Figure 8** is a diagrammatic, cross-sectional view of an alternate embodiment of a die press for forming a coating according to the invention.

**Figure 9** is a diagrammatic, perspective partial see-through view of a mold for injection molding an implant if Figure 1.

**Description of the Preferred Embodiment of the Invention**

Although the preferred embodiment of the invention will be described as it applies to a substantially spherical hydroxyapatite core, those skilled in the art will readily appreciate application of the invention to implants having different shapes and cores made from different materials.

Referring now to the drawing, there is shown in Figures 1 and 2, an orbital implant **1** formed by a substantially spherical porous core **2** sized to fill the orbit in approximation of a natural eyeball in a mammalian patient. The core is preferably made from a porous material such as naturally derived porous hydroxyapatite ("HA"). Other materials which can be used include porous synthetic HA, porous aluminum oxide, porous zirconium, porous polyethylene, porous poly-urethane, and other biocompatible porous materials capable of fibrovascular ingrowth.

The arcuate, substantially spherical outer surface **3** of

the core is at least partially covered by a coating 4 having a first arcuate, substantially hemispherical anterior portion 5 and a second arcuate, substantially hemispherical posterior portion 6 sized and shaped to intimately fit over the outer surface of the implant. The coating has an outer surface 7 which is smoother than the outer surface 3 of the implant 2. Preferably, the anterior portion 5 is selected from a material or materials so that its bioabsorbability or degradation rate is slower than that of the posterior portion 6.

Because of the different bioabsorbability of various portions of the coating, it is important for the ocular surgeon to properly identify and orient those portions during surgery. Easy identification is accomplished by including one or more identifying indicium on the outer surface of the coating. An indicia can include graphics, such as coloring, or even a graphical depiction of an eye, or lettering alone or to form words such as "anterior", "posterior", "front", or "back". The indicia is imprinted or embossed on the outer surface of the implant 1. Positioning of the lettering such as the letter "A" for "anterior" in a zone 8 on the anterior-most pole 9 of the implant and a "P" for posterior (not shown) on the opposite pole 10 of the implant is an example. Such lettering can be formed by an indentation in the thickness of the coating, or in ink or dye selected from commercially available FDA approved biocompatible inks and dyes.

Alternately, indicia can be through color coding. For example, the anterior and posterior portions can be colored differently. FDA approved biocompatible dyes are added during manufacturing to the material feedstock of the respective coating portions.

The anterior portion 5 material is selected to have a degradation rate or bioabsorbability of about 6 months or longer, more preferably about one year or longer, and most preferably about 1.5 years or longer. The selected material is strong enough to hold a suture until degradation or removal of the suture, or for a period of time sufficient to allow attachment of the muscle directly to the implant through ingrowth. The coating is also sufficiently plastic to allow cutting of windows with a knife or cautery to expose the core to attached extraocular muscles. Preferably, it will allow penetration of a suturing needle interoperatively without fracturing. However, penetratability can be enhanced by providing suture holes described below. The anterior material is sufficiently rigid to maintain a sutured extraocular muscle under common tension forces directly against the implant

The anterior portion 5 is preferably made from a polymeric bioabsorbable material such as polyglycolic-based materials, polylactic-based materials or combinations thereof. Such materials can include, but are not limited to, polyglycolic acid ("PGA"), polylactic acid ("PLA"), polycaprolactone, polydiox-anone, polycyanoacrylate,

polyorthoester, poly(gamma-ethyl glutamate), and pseudo-poly (amino acid).

Various parameters of this material are selected or adjusted to determine its bioabsorbability or degradation rate. The parameters can including but are not limited to its hydrophobicity, cross-linking, morphology (crystalline vs. amorphous), melt and glass-transition temperatures, molecular weight, molecular weight distribution, end groups, sequence distribution (random vs. blocky), the presence and condition of monomer or additives, the presence of hydrolytically unstable linkages along the backbone created with chemical functional groups such as esters, anhydrides, orthoesters, and amides.

The anterior portion material parameters are selected, generally, to provide a slower rate of degradation or bioabsorbability by increasing one or more of the following parameters: crystalline morphology, molecular weight, hydrophobic properties, and the lactide content of the material is preferably polylactide or poly( $\epsilon$ -caprolactone).

Referring now to the parameters of the posterior portion 6, those parameters are selected, generally, to provide an increased rate of degradation or bioabsorbability over that of the anterior portion. Achieving faster bioabsorbability is accomplished by increasing one or more of the following parameters: adding modified acid endgroups, selecting a more amorphous morphology, increasing hydrophilic properties, increasing the number of

hydrolytically unstable bonds, decreasing molecular weight, decreasing cross-linkages, increasing glycolide content.

The posterior material is most preferably selected from polyglycolide or amorphous 50/50 DL-lactide-co-glycolide. In this way, the posterior portion preferably degrades between about one day and four months, more preferably between about one day and eight weeks, and most preferably between about one day and six weeks.

Most preferably, the thickness of the coating for both portions is substantially the same to maintain adequate surface uniformity and smoothness, and adequate sphericity for spherically shaped implants, and to maintain uniformity in manufacturing. The coating is, therefore, preferably less than 2.0 millimeters thick, more preferably less than 1.0 millimeter thick, and most preferably less than 0.5 millimeter thick.

The material and coating thickness are most preferably selected to allow the easy burning or cutting of apertures such as passageways, windows or other holes through the coating using a fine tipped, high temperature (>2,000°F) battery operated handheld cautery, such as AARON MEDICAL COMPANY catalog No. AA01 cauterizing tool which is commercially available from the Aaron Medical Company of North Saint Petersburg, Florida.

In order to further enhance immediate fluid flow into and out of the porous core for rapid fibrovascular ingrowth, a number of passageways 11 are manufactured into the

posterior portion 6 of the coating. The passageways can be formed at the time the coating is molded or later machined into the coating after molding and/or after placement on the core. The passageways are shaped, sized and located to afford maximum fluid exchange between the core and surrounding tissues of the orbit without allowing interference from any underlying core surface spicules during implantation. The passageways are preferably uniformly spaced apart and restricted to the posterior portion 6 of the coating. Also, to maintain the structural integrity of the coating near where the extraocular muscle suturing will occur, the passageways located a distance apart from these regions. It should be understood that the passageways need not extend fully through the coating, but can be cylindrical depressions where the coating is thinner. Although, bioabsorbtion may take longer, such depressions may be more economically manufactured.

Referring now to Figure 3, each passageway 11 is preferably circular having a diameter 12 selected so that a buffer distance 13 exists between the outermost extent of the outer surface of the core 2 and the rim 14 of the passageway. Those skilled in the art will no doubt recognize that the passageway diameter 12 is of course dependent on the radius  $R$  of the core and the thickness  $T$  of the coating. It has been found that the buffer distance 13 be preferably between about 100 and 200 millimeters, more preferably between about 0.05 and 0.15 millimeters and most

preferably between about 0.025 and 0.075 millimeters. Based on typical ranges for implant sizes for humans, and the preferred coating thickness ranges described above, passageway diameters should be preferably between about 1.0 and 3.0 millimeters, more preferably between about 1.5 and 2.5 millimeters, and most preferably between about 1.5 and 2.0 millimeters. To maintain structural integrity the passageway centers are preferably spaced-apart by between about 3 and 6 millimeters, more preferably between about 3 and 5 millimeters and most preferably between about 3 and 4 millimeters.

The two dissimilar portions of the coating are bonded together using any of a number of bonding techniques or types applicable to the materials used. Examples include glued bonds, chemical bonds, molecular bonds, magnetic bonds, electrostatic bonds, ultrasonic welds, heat welds, press fittings, snap fittings, shrink fittings, friction fittings, and mechanically fastened bonds. A snap fitting type bond 15 is shown in Figure 4. In this way, the coating may be supplied separately and attached to the core just prior to implantation.

The core and coating are preferably pretreated to contain various therapeutic agents to control cell adhesion, migration, proliferation, and differentiation. Therapeutic agents can include but are not limited antibiotic agents, anti-inflammatory agents, vascularization promoting agents growth factors, cell adhesion modulating molecules, and gene

fragment agents, immuno-suppressants, wound-healing promoters, blood-clot dissolving agents, blood-clotting agents, and any combination thereof. These compounds and conveyance vehicles are well described in Perry, U.S. Patent No. 6,248,130, incorporated herein by this reference.

Alternately, the anterior portion can be selectively treated to encourage epithelial cell growth and collagen formation. Further, the outer surface 16 of the anterior portion 17 and/or posterior portion 18 of the coating may be modified to encourage cellular adhesion and/or proliferation. Modification can include local change of the surface material parameters discussed above, local placement of therapeutic agents, and/or microtexturing of the outer surface such as through raised ridges 19 which are most preferably between about 2 and 5 microns high. Alternately, the surface energy can be manipulated to enhance biocompatibility such as through changing its surface energy. In this way different portions of the implant are modified to selectively encourage different types of tissue growth.

Referring now to Figure 5, an alternate embodiment of the implant 20 according to the invention for those situations demanding the maximum capability for stimulating rapid ingrowth, the coating 21 is formed to have an anterior portion which is bioabsorbed slowly and little or no portion of the coating covers to posterior side 22 of the implant 23.

As is true with any of the embodiments, windows 24 can be preformed into the anterior portion of the coating in the approximate location for extraocular muscle attachment. Each window also has preformed suture holes 25 located near the anterior edge 26. The coating is held in place by a friction bond formed by spicules 27 penetrating into, deforming, and thereby intimately contacting the coating portion 18 as shown in Figure 4. Alternately, further securement of the coating 28 upon the core 29 may be accomplished by shaping it into a hyper-hemispherical shape as shown in Figure 6.

Referring now to Figures 7 there will be described the preferred manufacturing process for creating an orbital implant embodying the above-features.

A stamping die pair 30 is selected having a substantially hemispherical inner chamber 31. The chamber is constructed to have an inner diameter that is selected to be between about 100 to 1000 microns larger than the diameter of the HA core to which the coating will be secured. The die pair splits into a top, outer surface creating die portion 32 and a bottom, inner surface creating die portion 33. A sheet of a first type of polymer, is selected to form the anterior portion of the coating, and heated to allow a degree of plastic deformation. The sheet is loaded into the die and stamped under a pressure and time sufficient to overcome resiliency in the sheet material to form a first anterior portion of the coating.

The posterior portion is formed using a similar stamping die pair. A sheet of a second type of polymer which degrades faster than the first type is selected, and is preferably colored with a dye having sufficient contrast from the first sheet, and stamped to form the posterior portion of the coating.

The two hemispherically shaped coating portions are then mated around the appropriately sized HA core, and bonded to one another along their common equator using an ultrasonic weld.

The entire implant is then sterilized by subjecting it to gamma or electron beam radiation and packaged in a moisture- and light-proof pouch.

Referring now to Figure 8, apertures can be molded into the coating by adapting the stamp die pair so that the bottom die 34 has a number of cylindrical projections 35 extending vertically upwardly from the top surface 36. Each projection is sized, shaped and located to form a desired aperture. The top die 37 has correspondingly shaped, sized and located holes 38 allowing intimate penetration of the projections when the dies are brought together. The remainder of the lower, outer surface 39 of the upper die is etched to form valleys which correspond to the microtexturing desired on the surface of the intended portion of the coating. Projections of other shapes corresponding to the extraocular muscle windows and suture holes can placed where desired. The projections

penetrating the holes will punch out material from the polymer sheet to form the desired apertures in the coating.

Referring now to Figure 9, there will be described an alternate manufacturing process for creating an orbital implant embodying the above-features.

A substantially spherical porous hydroxyapatite implant is selected having a given diameter and placed in a mold 40 having a spherical inner chamber 41. The chamber is constructed to have an inner diameter that is selected to be between about 100 to 1000 microns larger than the diameter of the implant and splits into a top hemisphere 42 and a bottom hemisphere 43. The bottom hemisphere has a number of cylindrical projections extending radially inwardly from the inner surface. Each projection is sized, shaped and located to form a desired passageway. The remainder of the inner surface is etched to form valleys which correspond to the texturing desired on the posterior portion of the coating. The top hemisphere can have additional projections corresponding to the extraocular windows and suture holes.

Two types of liquid polymer, each selected respectively for the anterior and posterior portions of the coating, are injected into ports 44,45 at the poles of each hemisphere. The two types of polymer preferably contain two different dyes which readily distinguish the portions. The liquid polymer has a viscosity which allows it to partially penetrate the pores of the implant thereby forming a mechanical bond once the polymers have hardened. The

projections obstruct flow of the fluid to form the desired passageways. The two polymers meet along the equator and intermix to form a molecular bond between the two coating portions.

After hardening, the mold is separated and the implant removed. The implant and coating are sterilized using a flow of ethylene oxide gas and packaged in a moisture- and light-proof pouch. Care should be taken during sterilization not to adversely affect any therapeutic agents present.

Alternately, a first top hemisphere of the mold intended to form the anterior portion of the coating is sized to form a coating portion that is 100 microns thick, while the bottom hemisphere is sized to form a coating portion that is 200 microns thick. A first injection is made similar to that described above. The polymer selected for the anterior portion includes adhesion factor and growth factor therapeutic agents to encourage epithelial cell attachment and fibrovascular tissue growth. After hardening, the first top hemisphere of the mold is replaced with a second top hemisphere sized to form a coating portion which is 200 microns thick. A second injection is then made into the top of the mold using a faster degrading polymer similar to the polymer used to form the posterior coating portion. This polymer includes an antibiotic therapeutic agent bond to the polymer molecule. After hardening, the implant now has a uniformly thick coating of 200 microns. However, the anterior

coating portion has two concentrically adjacent layers formed from two different materials. Those skilled in the art will appreciate that different polymers or differently treated polymers may be used to form the layers.

Alternately, two hemispherical coating portions are separately molded to form hollow hemispheres. The hemispheres are then ultrasonically welded to each other along their mutual equator and around an appropriately sized implant. During welding some polymer renters a liquid phase and flows into adjacent pores in the core, which rehardens to form a mechanical bond thereto.

It should be understood that the coating portions may be molded to have uniform thickness and then later machined to form the passageways, windows, and/or holes.

#### EXAMPLE

Enucleation: A standard enucleation is done including tagging of the extraocular muscles with bioabsorbable suture. The orbit is sized, using a set of sizing spheres, to determine the size of the implant to be used. An implant is of the proper size when it is the largest implant that can be placed deep into the orbit without creating tension on the overlying tissues and while allowing adequate room for an artificial eye of sufficient thickness.

Once the desired size of the implant has been determined, a sterile marking pen is used to draw the location of the muscle windows on the amber colored polymer.

The muscle windows are most easily cut by using a fine tipped, high temperature ( $>2,000^{\circ}\text{F}$ ) battery operated handheld cautery. Use the fine tip to cut the coating material along the previously drawn lines. The small piece of polymer inside the window can then be removed with forceps. Make two small, 1 mm, holes, one near each end of the muscle window, 2-3 mm anterior to the anterior edge of each muscle window. The holes are for the exiting of the needle as the suture is passed through the muscle window and out the hole. The sutures are then tied together. An optional step to aid the passage of the suture needle is to use a 25 or 27-gauge hypodermic needle to create a tunnel from the suture exit hole to the muscle window. Then small holes (8-10) are made with the cautery near the posterior pole of the implant (near the apex of the purple colored hemisphere). This allows for the antibiotic and local anesthetic mixture within the implant to flow into the posterior orbit. These holes also serve as areas for rapid blood vessel in-growth.

The Coated Bio-eye HA Orbital Implant should then be soaked in an antibiotic and local anesthetic solution after the widows for the muscle attachments and posterior holes have been made. This is done just prior to implantation.

Eviseration: A standard evisceration is done with or without removal of the cornea. Sizing spheres are used to determine the size of the implant to be used. Once the proper implant has been chosen, muscle windows may or may not be made in

the amber colored (anterior) polymer. Multiple (8-10) small holes are made in the purple colored (posterior) polymer. The implant is then soaked in an antibiotic and local anesthetic solution. The implant is placed in the scleral cavity with the amber colored hemisphere toward the conjunctiva and the purple hemisphere toward the optic nerve. Anterior and posterior relaxing incisions may be used in the quadrants between the extraocular muscles to allow deeper placement of the implant in the orbit, to help reduce tension on the anterior scleral closure, and to allow the use of a larger implant than is otherwise possible. Scleral windows (3x7mm) may also be cut just posterior to the extraocular muscle insertion. These scleral windows provide additional contact between the implant and the highly vascular tissues of the orbit, thereby accelerating the rate of vascular ingrowth.

While the preferred embodiments of the invention have been described, modifications can be made and other embodiments may be devised without departing from the spirit of the invention and the scope of the appended claims.

**WHAT IS CLAIMED IS:**